

## METHODS FOR DETECTING NUCLEIC ACID VARIATIONS

### I. FIELD OF THE INVENTION

[001] This invention relates to methods for detection of a target molecule in a sample. In particular, the invention relates to the detection and structural analysis of nucleic acid target molecules.

### II. BACKGROUND OF THE INVENTION

[002] Nucleic acid-based detection systems are used to identify a specific nucleic acid sequence within a target molecule. The polymerase chain reaction (PCR) is a technique to specifically amplify known regions of a target nucleic acid molecule by repeated cycles of a chemical reaction that copies a segment of DNA from a template strand. Saki *et al.*, *Science* 230:1350-4 (1985); Grattard *et al.*, *J. Clin. Microbiol.* 32: 596-602 (1994) discloses the use of PCR to detect *Enterobacter cloacae* in a nosocomial outbreak.

[003] PCR based systems use specific primers to detect a target organism. Generally, PCR is used to amplify one or two specific regions of DNA from a target organism. The specificity is determined by the nucleic acid sequence and the length of the primers. Primers are usually on the order of 20-25 nucleic acids in length and specifically bind to the ends of a unique sequence within a target molecule. Although PCR technology provides substantial sensitivity and selectivity, a major limitation is that nucleic acid-based assays typically only target one species per reaction.

[004] More recently, methods of identifying known target sequences by probe ligation methods have been reported. See, for example, U.S. Patent No. 4,883,750 to Whiteley *et al.*, Wu, *et al.*, *Genomics* 4:560 (1989); Landegren, *et al.*, *Science* 241:1077 (1988); and Winn-Deen, *et al.*, *Clin. Chem.* 37:1522 (1991). In one approach two probes or probe elements which span a target region of interest are hybridized with the target region. Where the probe elements match adjacent target bases at the confronting ends of the probe elements, the two probe elements can be joined by ligation, *i.e.*, by treatment

with ligase. The ligated product is then assayed, evidencing the presence of the target sequence.

[005] In another approach, the ligated probe elements or ligated products act as a template for an additional pair of complementary probe elements. With continued cycles of denaturation, hybridization, and ligation in the presence of the two complementary pairs of probe elements, the target sequence is amplified exponentially allowing very small amounts of target sequence to be detected and/or amplified. This approach is referred to as ligase chain reaction ("LCR"). See, for example, Jou *et al.*, *Human Mutation* 5:86-93 (1995); and F. Barany, *Proc. Natl. Acad. Sci. USA*, 88:189-93 (1991).

[006] Several nucleic acid detection methodologies utilize hybridization of labeled oligonucleotides. A novel detection scheme based on nanoparticles was recently described that uses oligonucleotides covalently bound to gold particles. Tatton T, *et al.* *Science* 289:1757-1760 (2000). Gold nanoparticles tagged with short segments of DNA were used for detection of a nucleic acid sequence in a sample. Multicolor optical coding for biological assays has been achieved by embedding different-sized quantum dots into polymeric microbeads. Nanopore technology for analysis of nucleic acids converts strings of nucleotides directly into electronic signatures. Jain KK. *Expert Rev Mol Diagn*, 3(2): 153-61 (2003).

[007] Methods to detect nucleic acid variations are specifically valuable in understanding the genetic make up of disease and pharmacogenetics. Pharmacogenomics is a new approach to drug design, testing and utilization. The premise is that depending on their genetic makeup, individuals respond differently to particular drugs.

[008] The multifactorial nature of many complex diseases suggests that numerous genes, each with multiple alleles having small to moderate effects, may account for the majority of genetic variations that define risk of chronic diseases on a population basis. Nucleotide variations that are found in at least one percent of the populations are called single nucleotide polymorphisms, or simply SNPs. SNPs occur in roughly one of every 300 bases. Consequently, some several thousands SNPs lie within coding regions of genes. Much of the genetic variation between individual humans that contributes to differences in susceptibility to disease is believed to reflect SNP variations in DNA. Risch and Merikangas *Science* 273: 1516-1517 (1996).

[009] Some DNA changes cause or strongly contribute to specific diseases. For example, sickle cell anemia is caused solely by the change of an A to a T in the gene encoding the beta chain of hemoglobin. There are many reports of positive association of SNPs with complex diseases such as hypertension (Brand *et al.*, *Hypertension* 32: 198-204. (1998)), or end-stage renal disease (Yu *et al.*, *Hypertension* 31: 906-911 (1998)).

[010] Although improvements have been made in manipulation of nucleic acid reagents through technologies such as DNA chip array, LCR, and nanobiotechnology, and although particular processes such as amplification of nucleic acid molecules and sequencing are well developed, there still exists a need for techniques and devices for rapid and efficient analysis and detection of nucleic acid molecules and for the integration of systems that assist in reduction of the number of manipulations required to perform biological assays for detection of nucleic acid variations in a sample. This invention addresses these and many other needs in the detection of nucleic acid molecules.

[011] The detection method of the present invention incorporates nanoparticle-based detection systems and achieves several orders of magnitude higher sensitivity and selectivity than the analogous molecular fluorescence-based approach. Oligonucleotides covalently attached to nanoparticles provide both improved hybridization discrimination as well as increased sensitivity on microarrays. Additionally, the nanoparticle-based methodology of the invention advantageously uses Raman spectrum that offers potentially greater flexibility, a larger pool of available and non-overlapping probes, and higher multiplexing capabilities than conventional nucleic acid detection approaches.

### III. SUMMARY OF THE INVENTION

[012] The invention, as disclosed and described herein, provides methods and kits for detecting nucleic acid variations in a target nucleic acid molecule.

[013] In one aspect, the invention provides a method of identifying nucleic acid variations in a sample comprising: a) providing a sample potentially containing one or more target polynucleotides; b) providing one or more oligonucleotide probe sets, each set characterized by (i) a first oligonucleotide probe, having a target-specific portion and a first barcode, and (ii) a second oligonucleotide probe, having a second target-specific portion and a second barcode, wherein the first target-specific portion in the first

oligonucleotide probe and the second target-specific portion in the second oligonucleotide probe in a particular set are suitable for ligation together when hybridized adjacent to one another on a corresponding target molecule, but have a mismatch which interferes with such ligation when hybridized to any other polynucleotide present in the sample; c) providing a ligase; d) blending the sample, the plurality of oligonucleotide probe sets, and the ligase to form a mixture; e) subjecting the mixture to one or more ligase detection reaction cycles comprising a denaturation treatment a hybridization treatment, and a ligation step, wherein the oligonucleotide probe sets hybridize at adjacent positions to form a ligated product containing the first barcode, the target-specific portions connected together, and the second barcode; f) providing a solid support with one or more surface-bound probes on an array, wherein the surface-bound probes are complementary to the first barcode; g) contacting the ligated product of step e) with the solid support under conditions effective for hybridization of the first barcode with the surface-bound probes; h) providing a third barcode carrying one or more detectable labels and a nanoparticle attached therein, wherein the third barcode is complementary to the second barcode; i) and detecting the presence of the detectable labels on the ligated product captured on the solid support at particular sites, thereby indicating the presence of one or more target molecules in the sample.

[014] In another embodiment, the invention provides a method of identifying nucleic acid sequence variations in a sample comprising: a) providing a sample potentially containing one or more target polynucleotides with one or more nucleotide variations; b) providing at least three oligonucleotide probe sets, each set characterized by (i) a first oligonucleotide probe, having a first target-specific portion and a first barcode, (ii) a second oligonucleotide probe, having a second target-specific portion and a second barcode; and (iii) a third oligonucleotide probe, having a third target-specific portion and a third barcode, wherein the first target-specific portion in the first oligonucleotide probe in a particular set is suitable for ligation with the second target-specific portion in the second oligonucleotide probe, or the third target-specific portion in the third oligonucleotide probe, but have a mismatch which interferes with such ligation when hybridized to any other polynucleotide present in the sample; c) providing a ligase; d) blending the sample, the plurality of oligonucleotide probe sets, and the ligase to form a mixture; e) subjecting the mixture to one or more ligase detection reaction cycles comprising a denaturation

treatment, wherein any hybridized oligonucleotides are separated from the target polynucleotide, and a hybridization treatment, wherein the oligonucleotide probe sets hybridize at adjacent positions to form at least two ligated products, the first ligated product containing the first barcode, the first target-specific portion ligated to the second target specific portion and the second barcode, and the second ligated product containing the first-barcode, the first target-specific portion ligated to the third target-specific portion and the third barcode; f) providing a solid support with a plurality of surface-bound probes on an array, wherein the surface-bound probes are complementary to the first barcode; g) contacting the first and the second ligated product of step (e) with the solid support under conditions effective for hybridization of the first barcode with the surface-bound probes; h) providing a fourth barcode carrying one or more detectable labels and a nanoparticle attached therein, wherein the fourth barcode is complementary to the second barcode; i) providing a fifth barcode carrying one or more detectable labels and a nanoparticle attached therein, wherein the fifth barcode is complementary to the third barcode; j) and detecting the presence of the detectable labels on the first ligated product, the second ligated product, or both on the solid support a particular site, thereby indicating the presence of one or more target polynucleotides in the sample. The nucleotide to be detected being located, for example, in the first target-specific portion immediately adjacent to the junction of the first and the second target-specific portions, or the first and third target-specific portions of the oligonucleotide probe sets.

[015] In one embodiment, the detectable label comprises various enzymes, prosthetic groups, fluorescent materials, luminescent materials, bioluminescent materials, radioactive materials, disperse dyes, or a combination thereof. In a preferred embodiment, the detectable label is a dye. In a more preferred embodiment, the label is one or more dyes with different surface-enhanced Raman spectra signatures.

[016] The dye comprises, for example, cyanine dye (including CyA, Cy2, Cy3, Cy3.5, Cy5, Cy5.5, Cy7, and Cy7.5.3; R110 (5&6-carboxyrhodamine-110); R6G (6-carboxyrhodamine-6G); TAMRA (N,N,N',N'-tetramethyl-6-carboxyrhodamine); ROX (6-carboxy-X-rhodamine); FAM (6-carboxyfluorescein); JOE (6-carboxy-4',5'-dichloro-2',7'-dimethoxyfluorescein); HEX (6-carboxy-2',4,4',5',7,7'-hexachlorofluorescein); TET (6-carboxy-2',4,7,7'-tetrachlorofluorescein); ZOE (5-carboxy-2',4',5',7'-

tetrachlorofluorescein), R6, NAN, Texas Red, Rhodamine Red, Alexa dyes, or a combination thereof, among others.

[017] In one embodiment, the detection method of the invention detects single nucleotide polymorphisms. In another embodiment, the detection method detects variations in one or multiple allele differences at single or multiple nucleotide positions. The nucleic acid sequence variations comprise insertions, deletions, microsatellite repeats, translocations, mutations, or a combination thereof.

[018] In another embodiment, the target specific portion of the probes is from about 15 to about 30 nucleotides long and has substantially the same melting temperature as the target polynucleotide so that it hybridizes to the target polynucleotides under similar hybridization conditions. For example, the target-specific portion of the probe has a hybridization temperature of from about 40 °C to about 85 °C. Preferably the hybridization temperature is from about 60 °C to about 70 °C.

[019] In yet another embodiment, the denaturation treatment prior to or after the ligase reaction is completed at a temperature of about 70 °C to about 105. The duration of the denaturation treatment is in the range of about 30 seconds to about 5 minutes, for example, 50 seconds, 100 seconds, 2 minutes, 2.5 minutes, 3 minutes, and 4 minutes. It is intended herein that the ranges recited also include all those specific numerical amounts between the recited ranges.

[020] In one embodiment, the ligase enzyme comprises *Thermus aquaticus* ligase, *Thermus thermophilus* ligase, *E. coli* ligase, T4 DNA ligase, *Thermus* sp. AK16 ligase, *Aquifex aeolicus* ligase, *Thermotoga maritime* ligase, and *Pyrococcus* ligase, among others.

[021] In another embodiment, the target nucleic acid is amplified by a polymerase chain reaction prior to ligation.

[022] In yet another embodiment, the ligated product is amplified by a polymerase chain reaction after ligation.

[023] In yet another embodiment, the ligated product is treated chemically or enzymatically after ligation to remove unligated oligonucleotide probes. This step can be carried out, for example, by treatment with an exonuclease.

[024] In another aspect, the present invention provides a diagnostic test kit for detection of nucleic acid variations in a sample. The diagnostic test kit includes, for example, one or more of the following: templates, buffers, LCR probes and reagents, PCR primers and reagents, hybridization reagents, other chemical agents, nucleotides, probe arrays, enzymes, nanoparticles, detection agents, barcodes, control materials, devices, and the like, among others.

[025] In one embodiment, at least two nanoparticle-attached barcodes are provided in separate containers.

[026] In another embodiment, the nanoparticles and barcodes each are separately contained and conjugation of the nanoparticle with the barcode is carried out prior to the assay.

[027] In yet another embodiment, the nanoparticles, the barcodes attached to the nanoparticles, or both are functionalized prior to attachment of nanoparticles.

[028] In another embodiment, the test kit additionally contains the probe array or microarray on a substrate.

[029] These and other aspects and embodiments of the invention are disclosed in detail herein.

#### IV. BRIEF DESCRIPTION OF THE FIGURES

[030] Fig. 1 is a schematic representation of LCR detection of a nucleic acid variation in a target molecule. The figure shows a target nucleic acid, having a site to be interrogated shown by a clear circle. The target nucleic acid is prepared using a complementary strand from PCR product or an unamplified genomic DNA. Also shown is a schematic representation of two LCR probes designated I and II, used to detect the nucleic acid variation in the target molecule. Each of the LCR probes has a complementary target-specific portion (I b and II a) and a barcode (I a and II b) at their respective 5' and 3' ends. One barcode binds a complementary surface-bound probe on an array, and the second barcode binds a complementary solution-phase barcode containing an attached nanoparticle.

[031] Fig. 2 is a schematic representation of the ligation between the LCR probes and target nucleic acid molecule (III). Two LCR probes (I and II) contain a target-specific portion (I b and II a) which recognizes the complementary target sequences. The target-specific portions I b and II are contiguous so that the first oligonucleotide probe and the second oligonucleotide probe abut one another in 5' phosphate-3' hydroxyl relationship. A ligase reaction covalently fuses the two oligonucleotide probes into a ligated product containing two target-specific portions (I b and II a) and two barcodes (I a and II b).

[032] Fig. 3 is a schematic representation of the interaction between a solution phase ligated product (I-II), a nanoparticle-attached barcode (III) and a solid phase probe array. The ligated product contains two target-specific portions (I b and II a) and two barcodes (I a and II b) in solution phase. Fig. 3B shows the gold nanoparticle-attached barcode III in solution phase. The probe IV in the array is attached to a solid surface by a linker moiety. The solid surface is functionalized by an amino group moiety prior to the attachment to probe IV.

[033] Fig. 4 is a schematic representation of the capture of the ligated product (I-II) on the solid surface and detection by the gold nanoparticle. The first barcode (Ia) on the ligated product is hybridized to probe (IV) on the array. The second barcode (II b) on the ligated product is hybridized with the barcode (III) attached to the gold (AU) nanoparticle.

[034] Fig. 5 is a schematic representation for the capture of two alleles on one spot on the array. Three LCR probes are provided. A first LCR probe has a first target-specific portion (I b) and a first barcode (I a). The second LCR probe has a second target-specific portion (II a) and a second barcode (II b). The third LCR probe has a third target-specific portion (III a) and a third barcode (III b). The first barcode in each LCR probe is capable of binding with the probe array (VI). Two gold (AU) nanoparticle-attached barcodes (barcodes IV and V) are provided. Barcode IV is complementary to the second barcode (II b) and barcode V is complementary to the third barcode (III b). Each nanoparticle is attached to dyes (dye 1 and dye 2) having a different surface-enhanced Raman spectra signature.



## V. DETAILED DESCRIPTION OF THE INVENTION

[035] Methods and kits for automated detection and identification of a target nucleic acid molecule are described herein. The invention provides capability of detection of multiple target molecules concomitantly and rapidly. To detect the presence of a target molecule, the invention as described herein employs nanoparticles to create a highly sensitive, and specific surface-enhanced spectral Raman-based detection system. Scanometric detection is also possible.

[036] The detection method of the invention is highly sensitive and is capable of detecting minute amounts of a target agent, and is highly reliable in that the interaction is specific for each target agent.

[037] The methods and probe compositions of the invention are useful in a variety of prognostic, diagnostic, and detection applications. These applications include, by way of example and not limitation, detection, identification and/or quantification of genetic abnormalities or genetic diseases, detection of cancer, detection of bacterial infections (*i.e.*, tuberculosis, Lyme disease, *H. pylori*, *Escherichia coli* infections, Legionella infections, Mycoplasma infections, Salmonella infections, among others); detection of viral infections (*i.e.*, human immunodeficiency virus, hepatitis viruses, herpes viruses, cytomegalovirus, and Epstein-Barr virus, among others); detection of sexually transmitted diseases; detection of inherited disorders (*i.e.*, cystic fibrosis, Duchenne muscular dystrophy, phenylketonuria, sickle cell anemia), detection of cancer (*i.e.*, genes associated with the development of cancer); forensic science, determination of a genetic relationship, such as paternity or species identification, determination of potential donors of organs or tissues, the identification of a sequence (gene/gene mutation) and the determination of an expression level (mRNA abundance) of genes, among others.

### 1. Definitions

[038] The definitions used in this application are for illustrative purposes and do not limit the scope of the invention.

[039] As used herein, "nucleic acid molecule" or "polynucleotide" includes cDNA, RNA, DNA/RNA hybrid, anti-sense RNA, ribozyme, genomic DNA, synthetic forms, and mixed polymers, both sense and antisense strands, and may be chemically or

biochemically modified to contain non-natural or derivatized, synthetic, or semi-synthetic nucleotide bases. Also, included within the scope of the invention are alterations of a wild type or synthetic gene, including, but not limited to deletion, insertion, substitution of one or more nucleotides, or fusion to other polynucleotides.

[040] As used herein, "oligonucleotide probe" includes DNA, RNA, DNA-RNA hybrid, antisense RNA, cDNA, genomic DNA, mRNA, ribozyme, a natural, synthetic, or recombinant nucleic acid molecule, aptamers and/or peptide-nucleic acid hybrid, among others. It will be appreciated that a great variety of modifications have been made to DNA and RNA that serve many useful purposes known to those of skill in the art.

[041] As used herein, "polymerase-mediated reaction" refers to a biochemical reaction in which a template molecule or a population of template molecules is periodically and repeatedly copied to create a complementary template molecule(s), thereby increasing the number of the complementary template molecules over time. Generally, a polymerase-mediated reaction includes primer molecules that serve to initiate synthesis of the complementary molecule, a polymerase enzyme that catalyzes the synthesis, and monomeric molecules that make up the product.

[042] As used herein, "template molecule" refers to a molecule that can serve as a template for the synthesis of a complementary molecule. Most often, a template molecule is a polymeric molecule. The template molecule is a nucleic acid molecule, *i.e.* DNA, RNA, DNA-RNA hybrid, antisense RNA, cDNA, genomic DNA, a natural, synthetic, recombinant nucleic acid molecule, or a combination thereof. In the context of PCR or ligation a template molecule may represent a fragment or fraction of the nucleic acids added to the reaction.

[043] As used herein "microarray" refers to an arrangement of distinct polynucleotides arrayed on a substrate, *i.e.*, paper, nylon or any other type of membrane, filter, chip, glass slide, or any other suitable solid support.

[044] As used herein, "target molecule" refers to a molecule whose structure, presence and/or abundance is being detected. Most often, a target molecule is a polymeric molecule. In preferred embodiments, the target molecule is a polynucleotide molecule including DNA, RNA, DNA-RNA hybrid, antisense RNA, cDNA, genomic DNA, mRNA,

ribozyme, a natural, synthetic, or recombinant nucleic acid molecule, and peptide-nucleic acid hybrid. A target molecule can be derived from any of a number of sources, including animals, plants, bacteria, fungi, viruses, and the like. In certain embodiments, the target molecule is a polynucleotide whose sequence structure, presence or absence can be used for certain medical, forensic, or biological detection purposes.

[045] As used herein, "sample," includes any sample containing a target molecule that can be detected by composition and methods of the invention. Samples may be obtained from any source including animals, plants, fungi, bacteria, and viruses, among others. Animal samples are obtained, for example from tissue biopsy, blood, hair, buccal scrapes, plasma, serum, skin, ascites, plural effusion, thoracentesis fluid, spinal fluid, lymph fluid, bone marrow, respiratory, intestinal fluid, genital fluid, stool, urine, sputum, tears, saliva, tumors, organs, tissues, samples of *in vitro* cell culture constituents, fetal cells, placenta cells or amniotic cells and/or fluid.

[046] As used herein, the term "detectable label" includes molecules that are attached to a nucleic acid molecule of the invention and either alone or in combination with a binding partner assist in detection of a hybridization product.

[047] As used herein, the term "barcode" refers to short oligonucleotides with no complementary nucleic acid sequence to a target nucleic acid sequence that may be present in a sample. Thus, the barcode does not hybridize with any target nucleic acid sequence that is contained in the sample. Preferably, the sequence of the barcode is selected such that it has no complement in the genome of the species from which the sample is derived from.

[048] The invention, as described herein, provides a novel detection methods and probes involving solid-phase capture and identification of nucleic acid sequence variants combining two different technologies (*i.e.*, ligase chain reaction-LCR and nanoparticle-based detection).

[049] In one embodiment, the detection method of the invention involves solution-phase ligation of at least two templated (*i.e.*, complementary to the target sequence) oligonucleotide probes having different target-specific portions and different barcodes at their respective 5' and 3' ends. One barcode binds a complementary surface-

bound probe on an array, and the second barcode binds a complementary solution-phase barcode. The barcodes are short oligonucleotides with no complementary nucleic acid sequence to a target nucleic acid sequence that may be present in a sample. Thus, the barcodes do not hybridize with any target nucleic acid sequence that is contained in the sample.

[050] The complementary solution-phase barcode carries a nanoparticle and one or more detectable labels attached thereto. The nanoparticle is preferably attached at the end of the barcode. The target-specific portions of the oligonucleotide probes are able to ligate together when they hybridize adjacent to one another on a corresponding template polynucleotide, but have a mismatch which interferes with such ligation when hybridized to any other polynucleotides present in the sample.

[051] In another embodiment, the detection method of the invention involves solution-phase ligation of at least three templated oligonucleotide probes, each having a target-specific portion and a barcode at their respective 5' and 3' ends. One barcode binds a complementary surface-bound probe on an array, and the second barcode binds a complementary solution-phase barcode. This method allows the capture of at least two ligated products, one representative of a normal target polynucleotide, and the other representative of a mutant target polynucleotides on one site on the array. The capture of the ligated products or a mutant target polynucleotide by the probe on the array results in one or more barcodes becoming available for hybridization to the solution-phase barcode carrying one or more detectable labels that are placed adjacent to a nanoparticle.

[052] In another embodiment, the detectable label is one or more detectable dyes, each detectable dye having a different surface-enhanced Raman spectra (SERS) signatures). Raman spectroscopy can then be used for the detection of any number of different dyes as detectable labels. For example, one or more separate dyes are used with different surface-enhanced Raman spectra signatures, so that one spot on an array can be used to genotype both the normal and variant sequence (*i.e.*, homozygous normal (N/N), heterozygous (N/M), or homozygous mutant (M/M)).

## 2. Nanoparticles

[053] The methods and detection kits of the invention exploit recent laboratory breakthroughs in nanotechnology through the use of nanoparticles. Nanoparticles have a very small diameter, and may or may not be gated with charged molecules. The small size and high surface-to-volume ratio makes nanoparticles extremely sensitive to changes in their external environment. Nanoparticles are capable of detecting and identifying femtogram ( $10^{-15}$  g) quantities of a target molecule, within one minute. When used in a diagnostic capacity, nanoparticles preferably detect the target molecules directly without any additional reagents. The response of nanoparticles is fast and selective for the particular target molecule under examination.

[054] Nanoparticles include, for example, nanowires (aka nanorods, *see*, for example, U.S. Patent No. 6,190,634 issued to Lieber *et al.*), nanowire arrays, quantumdots (aka nanodots), nanotubes (*see*, for example, Ebbesen *et al.*, *Nature* 358: 220-222 (1992), and Stephan *et al.* *Science*: 266, 1683-1685(1994)), each of which is incorporated herein by reference in its entirety.

[055] The sensitivity and selectivity of the nanoparticle for a specific application is designed through manipulations of several factors including, for example, materials that nanoparticles are made of, coating materials, doping materials, and diameter of the nanoparticles, among others. In one embodiment, the sensitivity of a nanoparticle is designed through a manipulation of doping and particle diameter. The size of the nanoparticles is preferably from about 5 nm to about 150 nm (mean diameter), more preferably from about 5 nm to about 50 nm, most preferably from about 10 nm to about 30 nm.

[056] Nanoparticles are made of, for example, metal (*i.e.*, gold, silver, copper and platinum), semiconductor (*i.e.*, CdSe, CdS, and CdS or CdSe coated with ZnS) and magnetic (*i.e.*, ferromagnetite) colloidal materials. Other nanoparticles are made of, for example, ZnO, TiO<sub>2</sub>, AgI, AgBr, HgI<sub>2</sub>, PbS, PbSe, ZnTe, and CdTe, among others.

[057] Methods of making metal, semiconductor and magnetic nanoparticles are well-known in the art. *See, i.e.*, Schmid, G. (ed.) *Clusters and Colloids* (VCH, Weinheim, 1994); Hayat, M. A. (ed.) *Colloidal Gold: Principles, Methods, and Applications* (Academic Press, San Diego, 1991); Ahmadi, *et al.*, *Science*, 272, 1924 (1996); and Henglein, *et al.*, *J. Phys. Chem.*, 99, 14129 (1995). Suitable nanoparticles are also

commercially available from, *i.e.*, Ted Pella, Inc. (gold), Amersham Corporation (gold) and Nanoprobe, Inc. (gold).

[058] In a number of other embodiments of the invention, the detectable change is created by labeling the barcodes, nanoparticles, or both with detectable labels (*i.e.*, fluorescent molecules and/or dyes) that produce detectable changes upon hybridization of the barcode -attached nanoparticle with the barcode attached to a ligated product. Methods of detecting nucleic acids based on observing a color change with the naked eye are efficient, simple and economical. Methods of labeling oligonucleotides with fluorescent or dye molecules are well known in the art.

[059] Suitable fluorescent molecules and dyes are well known in the art and include, for example, fluoresceins, aminoacridine, TRIT, and NBD (4-chloro-7-nitrobenzo-2-oxa-1-diazole) umbelliferone, fluorescein isothiocyanate, rhodamine, dichlorotriazinylamine fluorescein, dansyl chloride, phycoerythrin, luminal, luciferase, luciferin, aequorin, CyA, Cy2, Cy3, Cy3.5, Cy5, Cy5.5, Cy7, and Cy7.5.3, R110 (5&6-carboxyrhodamine-110), R6G (6-carboxyrhodamine-6G); TAMRA, N,N,N' (N'-tetramethyl-6-carboxyrhodamine); ROX (6-carboxy-X-rhodamine); FAM, 6-carboxyfluorescein); JOE (6-carboxy-4',5'-dichloro-2',7'-dimethoxyfluorescein); HEX (6-carboxy-2',4,4',5',7,7'-hexachlorofluorescein); TET (6-carboxy-2',4,7,7'-tetrachlorofluorescein); ZOE (5-carboxy-2',4',5',7'-tetrachlorofluorescein); R6, NAN, Texas Red, Rhodamine Red, or a combination thereof, among others.

[060] In a preferred embodiment of the invention, gold nanoparticles are placed in the vicinity of a Raman-active dye in order to increase the strength of the unique Raman spectra exhibited by the dye. Hybridization of a barcode-attached gold nanoparticles with oligonucleotides attached to a ligated product results in an unique Raman spectra, which is indicative of the presence of a target sequence, optionally followed by silver enhancement. It is believed that this method offers potentially greater flexibility, a larger pool of available and non-overlapping probes, and higher multiplexing capabilities than conventional fluorescence-based detection approaches.

[061] Multiplexed detection of oligonucleotide targets has been performed with gold nanoparticle probes labeled with Raman-active dyes. *See, i.e.*, Yunwei, *et al.*, *Science*, 297: 1536 (2002), incorporated herein by reference in its entirety. Gold

nanoparticles act as a surface-enhanced Raman scattering promoter for the dye-labeled particles that have been captured by target molecules on a chip in a microarray format. Silver enhancement facilitates the formation of a silver coating that acts as a surface-enhanced Raman scattering promoter for the dye-labeled particles that have been captured by target molecules on a chip in a microarray format. Before silver enhancing, the nanoparticle probes are almost invisible to the naked eye, and little or no Raman scattering signal may be detectable. After silver enhancing, the silver particles can grow around the dye (*i.e.*, Cy3)-labeled gold nanoparticle probes, leading to large Raman scattering enhancements.

[062] Dyes other than Cy3 can be used to create a large number of probes with distinct and measurable SERS signals for multiplexed detection. The number of available Raman dyes is much greater than the number of available and discernable fluorescent dyes. For example, a Raman dye can be either fluorescent or nonfluorescent, but a minor chemical modification of a dye molecule can lead to a new dye with a different Raman spectrum even though the two dyes exhibit virtually indistinguishable fluorescence spectra. *See*, for example, Graham, *et al.*, *Chem. Int. Ed.* 39: 1061 (2000), Kneipp *et al.* *Chem. Rev.* 99: 2957 (1999), each of which is incorporated herein by reference in its entirety.

[063] The nanoparticles, barcodes or both are functionalized in order to attach the nanoparticle to the barcode. Such functionalization methods are known in the art. For instance, oligonucleotides functionalized with alkanethiols at their 3'-termini or 5'-termini readily attach to gold nanoparticles. Other functional groups for attaching oligonucleotides to solid surfaces include phosphorothioate groups (*see, i.e.*, U.S. Patent No. 5,472,881 for the binding of oligonucleotide-phosphorothioates to gold surfaces), substituted alkylsiloxanes (*see*, Burwell, *Chemical Technology*, 4: 370-377 (1974) and Matteucci and Caruthers, *J. Am. Chem. Soc.*, 103: 3185-3191 (1981) for binding of oligonucleotides to silica and glass surfaces, each of which is incorporated herein by reference in its entirety. Oligonucleotides terminated with a 5' thionucleoside or a 3' thionucleoside may also be used for attaching oligonucleotides to solid surfaces.

### 3. Sample/Target

[064] The invention provides methods of detecting a nucleic acid target molecule in a sample. Any type of nucleic acid target may be detected. Examples of nucleic acids that can be detected by the methods of the invention include genes (*i.e.*, a gene associated with a particular disease), viral, bacterial, and fungal nucleic acid molecules, among others. The nucleic acid molecules to be detected are isolated by known methods, or are detected directly in cells, tissue samples, biological fluids (*i.e.*, saliva, urine, blood, and serum), solutions containing PCR products, solutions containing large excesses of polynucleotides or high molecular weight DNA, and other samples, as also known in the art. *See, i.e.*, Sambrook *et al.*, *supra*. If a target nucleic acid is present in small amounts, it may be amplified by methods known in the art.

[065] Samples used in the methods of this invention can be obtained from any source that potentially contains a target molecule. Such sources include animals, plants, soil, water, fungi, bacteria, and viruses, among others. Animal samples are obtained, for example from tissue biopsy, blood, hair, buccal scrapes, plasma, serum, skin, ascites, plural effusion, thoracentesis fluid, spinal fluid, lymph fluid, bone marrow, respiratory, intestinal fluid, genital fluid, stool, urine, sputum, tears, saliva, tumors, organs, tissues, samples of *in vitro* cell culture constituents, fetal cells, placenta cells or amniotic cells and/or fluid.

[066] Methods for the preparation of samples can be found in a multitude of sources, including Sambrook *et al.*, *supra*. Any such method can be used in the present invention as an upstream processing. Typically, these methods involve cell lysis, followed by purification of nucleic acids by methods such as phenol/chloroform extraction, electrophoresis, and/or chromatography. Often, such methods include a step wherein the nucleic acids are precipitated, *i.e.*, with ethanol, and resuspended in an appropriate buffer for addition to a PCR reagent, LCR reagent or other nucleic acid amplification reagents.

[067] The choice of the template used within a sample depends on the particular application used. Such applications include diagnostic procedures, wherein the presence or absence of a particular nucleic acid provides information regarding, for example, the existence or state of a disease. In other applications, nucleic acids are amplified for use in a downstream application, such as for use as a probe, or for sequencing, *i.e.*, to determine the precise sequence of a particular genetic locus in one or more individual samples.



[068] In certain embodiments, a plurality of template molecules from one or more samples is used. For example, a single nucleic acid from a multitude of samples may be amplified to screen for the presence or absence of a particular nucleic acid molecule. In other applications, a plurality of nucleic acids may be amplified from a single sample or an individual, thereby allowing the assessment and simultaneous screening for a multitude of disease markers in the individual. Any of the above applications can be easily accomplished using the detection method and kits of the invention described herein.

[069] The present invention further relates to polynucleotide target molecules that hybridize to the herein-described oligonucleotide probe sequences. The term "hybridization under stringent conditions" according to the present invention is used as described by Sambrook *et al.*, *Molecular Cloning, A Laboratory Manual*, Cold Spring Harbor Laboratory Press 1.101-1.104, 1989. Preferably, a stringent hybridization according to the present invention is given when after washing for an hour with 1% SSC and 0.1 % SDC at 50°C, preferably at 55° C, more preferably at 62° C, most preferably at 68°C, a positive hybridization signal is still observed for complementary nucleic acids. The oligonucleotide probes of the invention include polynucleotide sequences that have homology and complementarity to a target sequence.

#### 4. *Amplification Strategies*

##### 4.1. *LCR*

[070] The amplification reaction, according to the invention described herein, includes a ligase chain reaction (LCR) or a variation thereof. Ligase chain reaction (LCR) is a mechanism for target amplification. In LCR, two contiguous oligonucleotide probes are joined by DNA ligase upon perfect hybridization to a polynucleotide target. The ligated products are equivalent to either the target or its complement. By repeated cycles of hybridization and ligation, amplification of the target sequence is achieved.

[071] In one embodiment of the invention, LCR is performed with two primary probes (first and second probes) and two secondary (third and fourth) probes are employed in excess. The first probe hybridizes to a first portion of a target strand and the second probe hybridizes to a second portion of a target strand, the first and second portions being contiguous so that the primary probes abut one another in 5' phosphate-3' hydroxyl

relationship so that a ligase can covalently fuse or ligate the two probe. In addition, a third (secondary) probe can hybridize to a portion of the first probe and a fourth (secondary) probe can hybridize to a portion of the second probe in a similar abutting fashion.

[072] If the target is initially double stranded, the secondary probes will also hybridize to the target complement in the first instance. Once the fused strand of primary probes is separated from the target strand, it will hybridize with the third and fourth probes which can be ligated to form a complementary, secondary fused product. LCR is described by, for example, Backman, *et al.* in EP-A-320 308; and Barany, *Proc. Natl Acad Sci USA* 88:189-193 (1991), each of which is incorporated herein by reference in its entirety.

[073] The hybridization step, discriminates between nucleotide sequences based on a distinguishing nucleotide at the ligation junctions. The difference between the target nucleotide sequences can be, for example, a single nucleic acid base difference, a nucleic acid deletion, a nucleic acid insertion, or rearrangement. Such sequence differences involving more than one base can also be detected.

[074] Also encompassed within the scope of the invention is a ligase chain reaction (LCR) wherein at least one probe is modified so that when hybridized with its complementary probe, the resulting duplex is not "blunt-ended" (*i.e.* ligatable) with respect to the partner complementary probe duplexes. After hybridizing to the target, the modified ends are "corrected" in a target-dependent fashion to render the adjacent probes ligatable. For example, the probes have recesses relative to the point of ligation which create a gap when hybridized to the target. The gap is then filled in a target-dependent manner to render the probes ligatable. A "modified end" has, for example, a blocking moiety, additional base residues on a group (*i.e.*, the 5' phosphate or the 3' hydroxyl) which, under ordinary LCR conditions, obligatorily participates in the ligase catalyzed fusion, or omitted bases to create a "gap" between one probe terminus and the next probe terminus.

[075] In the "gap" embodiment, modified ends are created by eliminating from one or more of the probes a short sequence of bases, thereby leaving a recess or gap between the 5' end of one probe and the 3' end of the other probe when they are both hybridized to the target (or target complement, or polynucleotide generated therefrom). In order for LCR to amplify the target, the gaps between the probes must be filled in (*i.e.*, the modification must be "corrected"). In a first version, this can be done using a polymerase

or a reverse transcriptase and an excess of deoxynucleotide triphosphates which are complementary to the target strand opposite the gap. Alternatively, this can be done by supplying a fifth probe complementary to the target and a sixth probe complementary to the fifth probe. *See*, for example, U.S. Patent No. 5,427,930 issued to Birkenmeyer, *et al.*, incorporated herein by reference in its entirety.

[076] LCR oligonucleotide probes of defined sequences are used for a variety of purposes in the practice of the invention. Methods of making oligonucleotides of a predetermined sequence are well-known. *See, i.e.*, Sambrook *et al. supra*. F. Eckstein (ed.) Oligonucleotides and Analogues, 1st Ed. (Oxford University Press, New York, 1991). Also encompassed within the scope of the invention are LCR oligonucleotide probes having removed repetitive sequences therefrom.

#### 5. Solid Surface

[077] The invention described herein relies on the use of a solid phase substrate for the capture of the target nucleic acid. Any substrate can be used which allows observation of the detectable change upon capture of the target nucleic acid on the substrate. Suitable substrates include transparent solid surfaces (*i.e.*, glass, quartz, plastics and other polymers), opaque solid surface (*i.e.*, white solid surfaces, such as TLC silica plates, filter paper, glass fiber filters, cellulose nitrate membranes, nylon membranes), and conducting solid surfaces (*i.e.*, indium-tin-oxide (ITO)). The substrate can be any shape or thickness.

[078] In one embodiment, multiple oligonucleotide probes are attached to the substrate. The oligonucleotides can be attached to the substrates as described in, for example, Chrisey *et al.*, Nucleic Acids Res., 24: 3040-3047 (1996); and Graves *et al.*, Patent Publication No. 20030099930, each of which is incorporated herein by reference in its entirety.

[079] According to one embodiment of the invention, the oligonucleotide probe attached to the substrate has a sequence complementary to the first barcode contained within a ligated product. A ligated product having a first barcode, the target specific portions connected together and a second barcode is contacted with the oligonucleotide

probe on the substrate under conditions effective to allow hybridization of the first barcode with the oligonucleotide probe on the substrate.

[080] Figure 4 shows a schematic representation of the capture of the ligated product on the solid surface and detection by the gold nanoparticle. The first barcode (Ia) attached to the ligated product is hybridized with the probe (IV) on the array. The second barcode (II b) on the ligated product is hybridized with the barcode (III) attached to the gold (AU) nanoparticle. In this manner the ligated product becomes bound to the substrate. Any unbound ligated product or probe is preferably washed from the substrate before adding nanoparticle-oligonucleotide conjugates.

[081] In another embodiment, the oligonucleotide probe bound to the substrate is contacted with two or more ligated products. One ligated product contains a first barcode, the target-specific portions connected together, and the second barcode. Another ligated product contains a first barcode, the target specific portions connected together and the third barcode. The first barcode has a sequence that is complementary to the oligonucleotide probe attached to the substrate. Upon contact of the ligated products with the substrate under conditions effective to allow hybridization, one or both of the ligated products will attach to the substrate *via* hybridization of the first barcode with the oligonucleotide probe. This method is used to capture, for example, two alleles on one spot on the array. Figure 5 shows a schematic representation for the capture of two alleles on one spot on the array. In this Figure three LCR probes are used. The first LCR probe has a first target-specific portion (I b) and a first barcode (I a). The second LCR probe has a second target-specific portion (II a) and a second barcode (II b). The third LCR probe has a third target-specific portion (III a) and a third barcode (III b). The first barcode in the first LCR probe is capable of hybridizing to a complementary barcode (VI) in a probe array. Two gold (AU) nanoparticle-attached barcodes (barcodes IV and V) are provided. Barcode IV is complementary to the second barcode (II b) and barcode V is complementary to the third barcode (III b). Each nanoparticle is attached to dye (dye 1 and dye 2) having a different surface-enhanced Raman spectra signature.

## 6. Test Kits

[082] Also encompassed within the scope of the invention are diagnostic test kits for detecting nucleic acids.

[083] Generally, kits contain one or more of the following: (1) one or more containers containing the reagents and probes as described herein; (2) instructions for practicing the methods described herein; (3) one or more assay component; and (4) packaging materials. The reagents and probes described herein are packaged to include many if not all of the necessary components for performing the detection of nucleic acid molecules. For example, kits can include any of templates, buffers, LCR probes and reagents, PCR primers and reagents, hybridization reagents, other chemical agents, nucleotides, probe array, enzymes, nanoparticles, detection agents, barcodes, reagents for silver staining, control materials, devices, or the like, among others.

[084] Other items which may be provided as part of the kit include a solid surface (for visualizing hybridization) such as a TLC silica plate, microporous materials, syringes, pipettes, cuvettes, containers, and a thermocycler (for controlling hybridization and de-hybridization temperatures). Reagents for functionalizing the nucleotides or nanoparticles may also be included in the kit.

[085] In the case of LCR amplification, the kit comprises, for example, multiple disposable reaction/detection units and one or more containers holding in suitable buffer(s), a DNA ligase, NAD, and at least two probes specific for amplifying a predetermined target nucleic acid by the ligase chain reaction. In some variations of LCR, there may also be included a polymerase or other enzyme for "correction" of probes to improve sensitivity by reducing non-specific background ligation.

[086] In one embodiment, the kit has the barcodes and nanoparticles in separate containers, and the barcodes would have to be attached to the nanoparticles prior to performing an assay to detect a nucleic acid variation. The barcodes and/or the nanoparticles may be functionalized so that the barcodes can be attached to the nanoparticles. Alternatively, the barcodes and/or nanoparticles may be provided in the kit without functional groups, in which case they must be functionalized prior to performing the assay.

[087] In another embodiment, the kit comprises at least one container. The container holds metallic or semiconductor nanoparticles having barcodes attached thereto. The barcodes have fluorescent molecules attached to their ends.

[088] In yet another embodiment, the kit comprises a substrate, the substrate having attached thereto a probe or a universal probe. The nanoparticles have barcodes attached thereto which have a sequence complementary to one or more additional barcodes.

[089] In another embodiment, the kit comprises a container holding one type of nanoparticles having barcodes attached thereto and one or more types of oligonucleotide probes. Each of the oligonucleotide probes has a sequence comprising at least two portions. The first portion is a barcode complementary and the second portion is target-specific complementary.

[090] Detection can be facilitated by coupling a barcode, a probe, or a nanoparticle to a detectable agent. Examples of detectable agents include, but are not limited, to various enzymes, prosthetic groups, fluorescent materials, luminescent materials, bioluminescent materials, radioactive materials, disperse dyes, gold particles, or a combination thereof.

[091] Examples of suitable detectable agents, as disclosed above, include suitable enzymes, *i.e.*, horseradish peroxidase, alkaline phosphatase, beta-galactosidase, or acetylcholinesterase; examples of suitable prosthetic group complexes include, but are not limited to, streptavidin/biotin and avidin/biotin; examples of suitable fluorescent materials include, but are not limited to, umbelliferone, fluorescein, fluorescein isothiocyanate, rhodamine, dichlorotriazinylamine fluorescein, dansyl chloride or phycoerythrin; an example of a luminescent material includes, but is not limited to, luminol; examples of bioluminescent materials include, but are not limited to luciferase, luciferin, and aequorin; and examples of suitable radioactive material include, but are not limited to  $^{125}\text{I}$ ,  $^{35}\text{S}$ ,  $^{14}\text{C}$ ,  $^3\text{H}$ ,  $^{99\text{M}}\text{Tc}$ , or  $^{52}\text{Mg}$ .

[092] In a preferred embodiment, the detectable agent is one or more dyes attached to a nanoparticle barcode. In a preferred embodiment the dye is CyA, Cy2, Cy3, Cy3.5, Cy5, Cy5.5, Cy7, and Cy7.5.3; R110 (5&6-carboxyrhodamine-110); R6G (6-

carboxyrhodamine-6G); TAMRA (N,N,N',N'-tetramethyl-6-carboxyrhodamine); ROX (6-carboxy-X-rhodamine); FAM (6-carboxyfluorescein); JOE (6-carboxy-4',5'-dichloro-2',7'-dimethoxyfluorescein); HEX (6-carboxy-2',4,4',5',7,7'-hexachlorofluorescein); TET (6-carboxy-2',4,7,7'-tetrachlorofluorescein); and ZOE (5-carboxy-2',4',5',7'-tetrachlorofluorescein); R6, NAN, Texas Red, Rhodamine Red, or Alexa dyes, among others. Finally, bifunctional chemicals such as 5,5'-dithiobis(succinimidyl-2-nitrobenzoate) (DSNB) bound to nanoparticles which elicit SERS, also can be used in place of dye reporters. See Grubisha *et al.*, *Anal. Chem.*, **75** (21), 5936 -5943, 2003, the entire disclosure of which is incorporated herein by reference.

[093] The practice of the invention is illustrated by the following non-limiting examples.

## VI. EXAMPLES

### Example 1: Low-Density Array Capture and Detection of LCR Products

[094] Preparation of dyed-labeled barcode oligonucleotide. An oligonucleotide containing barcode sequence SEQ ID NO:11 (CCATCGCACGAATGTAGTAC) at its 5' end, complementary to the common barcode sequence SEQ ID NO:12 (GTACTACATTCGTGCGATGG) of the 3'-primer-probes described below, was synthesized with a thiol group at the 3' end followed by an amino modified C6dT, and an A<sub>10</sub> spacer followed by the 5' barcode (SH-C6dT-10As-SEQ ID NO:11). The C6dT amino group can be reacted with succinimidyl esters of a variety of dyes (*e.g.*, Cy 3, 5, 5.5, 7, ALEXA 546, 647, 660, 680, 700, 750, TAMRA, Texas Red) to conjugate the dyes to the oligonucleotide. The modified oligonucleotide was labeled with Texas Red dye in this case. The protocol for dye labeling was according to the manufacturer (Molecular Probes, Eugene, OR). Briefly, 250 µg of dye per 100 µg of oligonucleotide was used for labeling. The dye was dissolved in methanol at a concentration of 10 µg/µl and aliquoted into tubes, lyophilized and stored at -20° C. The oligonucleotide was dissolved in dH<sub>2</sub>O and then ethanol precipitated to remove any contaminating amines from the oligonucleotide solution. Next, 62.5 µg of dye were dissolved in 3.5 µl of DMSO, to which was added 18.75 µl of 0.1 M sodium tetraborate

pH 8.5, 2  $\mu$ l of oligonucleotide (12.5  $\mu$ g/ $\mu$ l) and 0.75  $\mu$ l dH<sub>2</sub>O in a final volume of 25  $\mu$ l. The oligonucleotide dye mixture was shaken overnight at room temperature (RT) followed by ethanol precipitation to remove unincorporated dye. The oligonucleotide-dye complex was adjusted to 0.3 M NaCl with 3 M NaCl and 2.5X volumes of ethanol were added. The dye-bound oligonucleotide solution was placed at  $-20^{\circ}$  C for at least 30 min and pelleted at  $\geq 10,000$  RPM for 30 minutes at RT. The pellet was then rinsed in 70% (v/v) cold ethanol, centrifuged, and the pellets were dissolved in dH<sub>2</sub>O and stored at  $-20^{\circ}$  C in the dark.

[095]        **Preparation of Dye-labeled/Au-nanoparticle modified barcode oligonucleotide.** Attachment of gold nanoparticles to the above dye-labeled barcode oligonucleotide was carried out as follows. The 3'-disulfide bond on the oligonucleotide was reduced with 0.1M dithiothreitol (DTT), 0.17M sodium phosphate pH 8.0 at RT for 2 hours, and then the oligonucleotides were desalted on NAP-5 columns (Amersham) to remove the excess DTT prior to attachment of gold nanoparticles. The oligonucleotide was mixed with 15 nm Au nanoparticles (Ted Pella), using approximately 3 nmol of DNA per 1 ml of Au nanoparticles. After standing for 24 hours at RT, the salt concentration was adjusted to 0.1 M NaCl, 10 mM sodium phosphate pH 7.0 and allowed to stand for 40 hours, followed by centrifugation at 14,000 RPM for 30 min. The gold-containing reddish pellet was washed once with 0.1 M NaCl, 10 mM sodium phosphate pH 7.0, and the resultant Au-modified oligonucleotide was resuspended in 1 ml of 0.3 M NaCl, 10 mM labeled sodium phosphate pH 7.0.

[096]        **Array fabrication.** An array of surface-bound oligonucleotides comprising capture Barcodes #1 to #8 (Table 2) was prepared as follows. The array-capture Barcodes #1 to #8 with 3'-amino modification and an 18 C spacer were deposited on Code-Link slides (Amersham) in 2X print buffer (300 mM phosphate buffer pH 8.5) at a concentration of 125  $\mu$ M as described previously (Chrissey LA, O'Ferrall CE, Spargo BJ, Dulcey CS, Calvert JM. Fabrication of patterned DNA surfaces. *Nucleic Acids Research* 24: 3040-3047, 1996; Chrissey LA, Lee GU, O'Ferrall CE. Covalent attachment of synthetic DNA to self-assembled monolayer films. *Nucleic Acids Research* 24: 3031-3039, 1996). The array was printed either manually with a P2 Pipetman (Rainin) in 200 nl spots or semi-manually with a glass microarrayer (V&P Scientific Inc.). The barcodes



were coupled to the array by incubation in a humid chamber overnight at room temperature (RT). The array was then blocked in 50 mM ethanolamine, 0.1M Tris pH 9.0, and 0.1% (w/v) sodium dodecyl sulfate (SDS) was added to blocking buffer just before heating to 50<sup>0</sup> C. The array was incubated for 15 minutes at RT, rinsed twice in ultra pure dH<sub>2</sub>O, and then washed for 30 minutes in 4X SSC, 0.1% (w/v) SDS heated to 50<sup>0</sup> C in a stirring slide holder at RT. The array was then rinsed once in ultra pure dH<sub>2</sub>O, dried with compressed air and stored desiccated.

[097] **PCR.** A multiplex PCR reaction was carried out using human genomic DNA in order to interrogate four different regions. The regions encompassed 3 SNPs in the IBD region including positions 268, 908 and a C insertion, as well as position 63 in the HFE gene. The individual is heterozygous at IBD268 and homozygous for the wild type allele at IBD908, as well as for the C insertion and position 63 in the HFE gene. The four pairs of PCR primers are identified in Table 2: IBD 268 forward and IBD 268 reverse; IBD 908 forward and IBD 908 reverse; IBD InsC forward and IBD InsC reverse; and HFE 63 forward and HFE 63 reverse. The locus-specific PCR products were generated from genomic DNA (100 ng) using standard protocols (Barany F. Genetic disease detection and DNA amplification using cloned thermostable ligase. *Proceeding of the National Academy of Science USA* 88: 189-93, 1991). The PCR products were purified on a Qiaquick PCR cleanup column (Qiagen).

[098] **LCR.** The multiplex PCR products then were subjected to multiplex LCR. LCR was performed on the PCR products as previously described (Gerry NP, Witowski NE, Day J, Hammer RP, Barany G, Barany F. Universal DNA microarray method for multiplex detection of low abundance point mutations. *Journal of Molecular Biology* 292: 251-62, 1999) with modifications as follows. Primer concentrations were increased to 30 ng for each primer in a 20 µl reaction using *Pfu* ligase (Stratagene). The LCR utilized 8 different oligonucleotide probes (hereinafter "5'-primer-probe"), each probe comprising an array capture barcode linked to a 5'-locus-specific LCR primer (one barcode for each different allele) in order to distinguish both wild type and variant alleles at each of the 4 different loci being interrogated. Each of the 5'-primer-probes for each locus also contained either the distinguishing wild type or variant nucleotide at the 3' end. The 8 different 5'-primer-probes contained different 5'-complementary oligonucleotide barcodes

which bound different complementary array-capture barcodes (Barcodes 1 to 8, Table 1) at distinct locations in the array. Multiplex LCR was performed for each of the four loci in the presence of both types of 5'-primer-probes for each locus (containing either the wild type or variant 5' primer sequence) in addition to a set of second oligonucleotide probes (hereinafter "3'-primer-probes"). The 3'-primer-probes comprised a locus-specific common 3' primer sequence linked to a second, common barcode. This common barcode sequence (SEQ ID NO:12: GTACTACATTCGTGCGATGG) is complementary to the barcode sequence (SEQ ID NO:11: CCATCGCACGAATGTAGTAC) contained in the dye-labeled/Au-nanoparticle-modified barcode oligonucleotide prepared above, for universal detection of all positive signals. The 5'-primer-probes and 3'-primer-probes utilized for LCR are identified in Table 2. The underlined nucleotides indicate the position of polymorphism in the 5'-primer-probes. The LCR reaction was monitored by running 10  $\mu$ l on a 15% (w/v) acrylamide TBE Urea gel after staining with SYBR Green I (Molecular Probes) for 60 min in 1X TBE buffer with shaking at RT. LCR products were visualized on a Chemi-Doc (Bio-Rad) gel documentation system. Genotypes of all PCR samples was confirmed by standard DNA cycle sequencing reactions.

[099]        **First hybridization: Array capture of LCR product.** 5  $\mu$ l of the LCR product was added to 55  $\mu$ l of 0.75M NaCl, 10 mM sodium phosphate pH 7.0, 10 mM  $MgCl_2$  and heated to 70<sup>0</sup> C for 3 minutes and then cooled on ice. The array was placed in a humid chamber, made from a Petri dish with a damp filter paper, and a lifter strip was placed on top of the array. The hybridization solution containing the LCR product then was added to the edge of the lifter strip, and the area of the array was filled by capillary action. The array was incubated at RT for 3 hours, and the lifter strip was then removed by rinsing the array in 4X SSC. Excess buffer was then carefully removed with a kimwipe (making sure the arrays did not dry out).

[0100]       **Second hybridization: Hybridization of dye-labeled/Au-nanoparticle-modified barcode oligonucleotide to array-captured LCR products.** A hybridization buffer containing the dye-labeled/Au-nanoparticle-modified barcode oligonucleotide (SH-C6dT-10As-SEQ ID NO:11) (1ng/ $\mu$ l) and a hybridization control (1 ng/ $\mu$ l) were added to 55  $\mu$ l of 0.75M NaCl, 10 mM sodium phosphate buffer pH 7.0, and 10 mM  $MgCl_2$  and then covered with a lifter strip and incubated for 60 min at RT. After the second

incubation, the lifter strip was removed by soaking the array in 4X SSC, then washed twice for 5 min in 2X SSC, 0.1% (w/v) SDS, heated to 50° C before added to the array in a slide holder equipped with a stir bar. A second wash in 2X SSC for 1 minute was followed by a third wash in 1X SSC for 1 minute. The array was then dried.

**[0101] Silver enhancement of gold nanoparticles and scanning.** Silver enhancement of the gold nanoparticles was then performed. The array was rinsed manually with 0.6 M sodium nitrate in phosphate-buffered saline, pH 7.0 to remove any ions that would interfere with the silver enhancement. The array was then rinsed with distilled water (Invitrogen) before the silver enhancement solution was added. The silver enhancement solution was prepared by mixing equal volumes of the Blotting Initiator and the Blotting Enhancer (Ted Pella, Inc.) and 120 µl was added to the array for 2 minutes. The solution was removed and the array was rinsed with distilled water before adding another 120 µl of silver enhancing solution for 2 minutes. The solution was removed, the array was rinsed with distilled water. The array was scanned for fluorescence on a Packard/GSI scanner (Hewlett Packard).

**[0102] Results.** Only perfectly matched 5'- and 3' locus-specific primer probes templated by the various PCR product templates in the reactions ligated during LCR, resulted in covalent joining of the unique 5'-array capture oligonucleotide to the 3'-common barcode, which then annealed to the common barcode of the dye-labeled/Au-nanoparticle-modified barcode oligonucleotide. Only the expected spots in the array were positive, thereby corroborating the genotype determined by DNA sequence analysis at these 4 different loci.

**Table 1. Barcode sequences:**

| Barcode # | SEQ ID NO. of barcode nucleotide sequence | 5'- and 3'-modified barcode oligonucleotides and contained barcode nucleotide sequence (5'-3') |
|-----------|---|--|
| Barcode1  | 1   | AATGCTCGGGAAGGCTACTC-spacer-NH <sub>2</sub>  |
| Barcode2  | 2   | ATCCCGTGAGTCGATGGTTT-spacer-NH <sub>2</sub>  |
| Barcode3  | 3   | CGCACCGCAGTTTGGTCAAT-spacer-NH <sub>2</sub>  |
| Barcode4  | 4   | AGCCCGGTCTCATCGTTGTT-spacer-NH <sub>2</sub>  |
| Barcode5  | 5   | ATCGTACTTGGCACTGGAGT-spacer-NH <sub>2</sub>  |
| Barcode6  | 6   | GCCCAAATAAGACGTGAGCC-spacer-NH <sub>2</sub>  |

|           |    |  |
|-----------|----|--|
| Barcode7  | 7  | TCCGACGCAACAATAGGGCA-spacer-NH <sub>2</sub>  |
| Barcode8  | 8  | CCTGCTCGACAAC TAGAAGA-spacer-NH <sub>2</sub> |
| Barcode9  | 9  | CCGCGACCAGAATTAGATTA-spacer-NH <sub>2</sub>  |
| Barcode10 | 10 | GCCTCGTCGAAATTATCACA-spacer-NH <sub>2</sub>  |
| Barcode11 | 11 | SH-C6dT-10As-CCATCGCACGAATGTAGTAC            |

Table 2. Primers and Primer Probes designed for IBD and HFE SNPs detection.

| Primer type | Primer   | SEQ ID NO. of nucleotide sequence | Nucleotide sequence (5'-3')                      |
|-------------|--|-----------------------------------|--|
| PCR         | IBD 268 forward  | 13                                | CTGGTTAGTCCCGTCTTCA                              |
| PCR         | IBD 268 reverse  | 14                                | ACAGTGTCGCGCATCGTCAT                             |
| LCR         | IBD 268 normal (contains complement to Barcode 1)      | 15                                | GAGTAGCCTTCCCGAGCATTGGGTGGCTGGGCTCTTCTGCGGGGG    |
| LCR         | IBD 268 mutant (contains complement to Barcode 2)      | 16                                | AAACCATCGACTCACGGGATGGGTGGCTGGGCTCTTCTGCGGGGA    |
| LCR         | IBD 268 common   | 17                                | pTCCAGCCATGCCACATCTGCCAGGTACTACATTCTGTGCGATGG    |
| PCR         | IBD 908 forward  | 18                                | GATGGAGGCAGGTCCACTT                              |
| PCR         | IBD 908 reverse  | 19                                | CACCTGATCTCCCCAAGAAA                             |
| LCR         | IBD 908 normal (contains complement to Barcode 3)      | 20                                | ATTGACCAAACTGCGGTGGCGCCCCCTCGTCACCCACTCTGTGCG    |
| LCR         | IBD 908 mutant (contains complement to Barcode 4)      | 21                                | AACAACGATGAGACCGGGCTCCCCCTCGTCACCCACTCTGTGCG     |
| LCR         | IBD 908 common   | 22                                | pCCAGAACTGAAAAAGGCCAAAAGAGGTACTACATTCTGTGCGATGG  |
| PCR         | IBD InsC forward                                       | 23                                | GGACAGGTGGGCTTCAGTA                              |
| PCR         | IBD InsC reverse                                       | 24                                | CCTCAAAATCTGCCATTCC                              |
| LCR         | IBD InsC LCR normal (contains complement to Barcode 5) | 25                                | ACTCCAGTGCCCAAGTACGATTCCAGGATGGTGTGCAATTCCTTTCAA |

|     |  |    |  |
|-----|--|----|--|
| LCR | IBD InsC LCR mutant<br>(contains complement to<br>Barcode 6) | 26 | GGCTCACGTCTTATTGGGCCCCAGGATGGTGTCAATTCCTTTCAAG |
| LCR | IBD InsC LCR common  | 27 | pGGGTCTGCAGGAGGGCTTCTGCCCCGTAACATTCGTGCGATGG   |
| PCR | HFE 63 forward   | 28 | ACATGGTTAAGGCCTGTTC                            |
| PCR | HFE 63 reverse   | 29 | GCCACATCTGGCTTGAAATT                           |
| LCR | HFE 63 normal (contains<br>complement to Barcode 7)          | 30 | TGCCCTATTGTTGCGTCGGAGGGGCTCCACACGGCGACTCTCATG  |
| LCR | HFE 63 mutant (contains<br>complement to Barcode 8)          | 31 | TCTTCTAGTTGTCGAGCAGGGGGCTCCACACGGCGACTCTCATC   |
| LCR | HFE 63 LCR common  | 32 | pATCATAGAACACGAACAGCTGGTCAGTACTACATTCGTGCGATGG |
| PCR | HFE 282 forward  | 33 | TGGCAAGGGTAAACAGATCC                           |
| PCR | HFE 282 reverse  | 34 | CTCAGGCACCTCCTCTCAACC                          |
| LCR | HFE 282 normal (contains<br>complement to Barcode 9)         | 35 | TAATCTAATTCTGGTCGCGGTCCAGGCCCTGGGTGCTCCACCTGGC |
| LCR | HFE 282 mutant (contains<br>complement to Barcode 10)        | 36 | TGTGATAATTTCGACGAGGCTCCAGGCCCTGGGTGCTCCACCTGGT |
| LCR | HFE 282 common   | 37 | pACGTATACTCTGTCTCTCCCCAGGGTACTACATTCGTGCGATGG  |

**Example 2: High-Density Array Capture and Detection of LCR Products**

[0103] The GenFlex™ array, a high density commercial oligonucleotide array, was utilized to capture LCR products in the following example. The GenFlex™ array, contains about 2000 different oligonucleotide barcodes deposited on a chip, including Barcodes #1 through #10 of Table 1. Singlex PCR products were produced for the four loci of Example 1, plus an additional locus in the HFE gene (position 282) using genomic DNA. The PCR primers, 5'-primer-probes and 3'-primer-probes are identified in Table 2. PCR and LCR were conducted as in Example 1. Excess primer and nucleotides were removed post-PCR using a Qiagen PCR purification spin column protocol. The purified PCR products were used as templates for singlex LCR reactions. As in Example 1, a 15% (w/v) TBE/urea polyacrylamide gel was run to verify the success of the LCR reactions, and the gel was stained with SYBR Gold (Molecular Probes) for detection of LCR products.

[0104] LCR products were mixed and hybridized to the GenFlex chip. Equal amounts of the LCR reactions were/pooled together into a 2X hybridization solution (200 mM each MES, free acid and MES, sodium salt; 40 mM EDTA; 2M NaCl and 0.02% Tween-20) and 80 µl was added to the GenFlex™ chip (8 µl of each LCR product and 40 µl of the 2x hybridization solution). Hapten-labeled oligonucleotide controls (0.5 nM to 1.0 nM depending on oligo) for hybridization and for grid alignment by Affymetrix software were also in the hybridization solution. The GenFlex™ chip was placed in an Affymetrix hybridization oven overnight, set at 55°C (temperature determined in previous experiments). After hybridization the chip was placed onto Affymetrix's fluidics station for washing (FS400) before adding the detection oligonucleotide (same dye-labeled/Au-nanoparticle modified barcode oligonucleotide as in Example 1 except that the dye was Alexa 546) for hybridization. The detection oligonucleotide was added to the 2X hybridization solution, which was subsequently added to the washed GenFlex™ chip. The chip was placed back into the hybridization oven (55° C) for 4 hours to allow the detection oligonucleotide to bind to the hybridized LCR product. Four hours was determined to be sufficient time for the detection oligonucleotide to bind to the LCR product. The chip was removed from the hybridization oven and placed onto the fluidics station for washing and staining of the

hapten-labeled control oligonucleotides. Silver enhancement of the gold nanoparticles was then performed. The chip was rinsed manually with 0.6 M sodium nitrate in phosphate-buffered saline, pH 7.0 to remove any ions from the chip that would interfere with the silver enhancement. The chip was then rinsed with distilled water (Invitrogen) before the silver enhancement solution was added. The silver enhancement solution was prepared by mixing equal volumes of the Blotting Initiator and the Blotting Enhancer (Ted Pella, Inc.) and 120  $\mu$ l was added to the GenFlex<sup>TM</sup> chip for 2 minutes. The solution was removed and the chip was rinsed with distilled water before adding another 120  $\mu$ l of silver enhancing solution for 2 minutes. The solution was removed, the chip was rinsed with distilled water and then filled with non-stringent wash solution A (6X SSPE, 0.01% Tween-20) before scanning on the GS3000 scanner from Affymetrix. The detection wavelength of the scanner is 570nm. Alexa 546 emits at 573 nm, so the fluorescence signal can be measured on this scanner. Silver enhanced gold nanoparticle signal was observed clearly at the correct location on the 2 arrays for the detection control spot and for 3 of the 5 loci interrogated by LCR.



[0105] All references discussed herein are incorporated by reference. One skilled in the art will readily appreciate that the present invention is well adapted to carry out the objects and obtain the ends and advantages mentioned, as well as those inherent therein. The present invention may be embodied in other specific forms without departing from the spirit or essential attributes thereof. On the contrary, it is to be clearly understood that resort may be had to various other embodiments, modifications, and equivalents thereof which, after reading the description herein, may suggest themselves to those skilled in the art without departing from the spirit of the present invention and/or the scope of the appended claims.